



European Maritime Single Window Environment



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Presentation outline

- The current legal framework for reporting formalities
- Review
- Future vision?



The current legal framework

Reporting Formalities Directive (RFD) 2010/65/EU

- Objective: Trade facilitation by simplifying and rationalising reporting formalities, reducing red tape in maritime transport;
- The Member States shall accept electronic reporting via a Single Window (SW) no later than 1 June 2015;
- This SW is the platform where all information is reported once in a harmonised manner within the Member States and made available to the competent authorities;
- SW links: SafeSeaNet, e-Customs and other electronic systems;
- The Member State involved shall make relevant parts of such information available to other Member States upon request, via SafeSeaNet (customs and Schengen information can be excluded);
- No EU solution imposed.



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RFD: List of reporting formalities included

A. Resulting from EU legal acts

Notification for ships arriving in and departing from EU ports - Article 4 of 2002/59/EC

Border checks on persons - Article 7 of Reg. No 562/2006

Notification of dangerous goods carried on board - Article 13 of 2002/59/EC

Notification of waste and residues - Article 6 of 2000/59/EC

Notification of security information - Article 6 of Reg. 725/2004 (Appendix – SOLAS security form used until adopt. of harmonised for on international level)

Entry summary declaration - Article 36a Reg. No 2913/92

B. FAL forms and formalities resulting from international legal instruments

FAL form 1: General Declaration

FAL form 2: Cargo Declaration

FAL form 3: Ship's Stores Declaration

FAL form 4: Crew's Effects Declaration

FAL form 5: Crew List

FAL form 6: Passenger List

FAL form 7: Dangerous Goods

Maritime Declaration of Health

C. National requirements





RFD REFIT evaluation

- Launched mid-2016, part of the Maritime Fitness Check under the CWP 2016
- Purpose: Assessment if Reporting Formalities Directive is still:
 - Effective and efficient?
 - Relevant?
 - Coherent?
 - Have generated EU added value?
- Inclusion of VTMS: Use of SSN for exchange of information between MSs



RFD REFIT evaluation

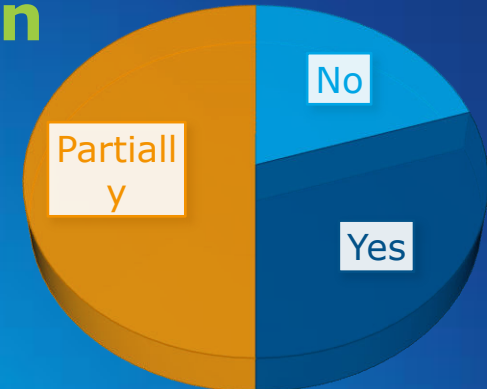
- Open Public Consultation - closed in January, 54 contributions, analysis ongoing
- 40 Port survey – data collected, analysis ongoing
- Targeted surveys - closed, 230 contributions, analysis ongoing
- 3 port call case study
- Analysis finalised and discussed within the Commission services in March-April
- Evaluation report expected to be ready end Q2 2017

RFD REFIT evaluation – preliminary observations

- Is the reporting done digitally?
- Is the reporting harmonised:
 - At national level?
 - At EU level? (not an obligation but an objective)
- Is the reporting only-once to a single system achieved:
 - At port level?
 - At national level (data available also for the 2nd call in a MS)?
 - At EU level? (sharing of data between MSs on FAL)
- Have the reporting formalities been simplified and rationalised?



RFD REFIT evaluation



Is the reporting done digitally?

- Based on the targeted consultation, electronic reporting is not possible at all in about 20% of the port calls.
- Shipping companies informed that the electronic reporting is fully available in about 20% of the port calls while ship agents considered that this is possible in about 40% of the calls.
 - Numbers in line with the 40 port Benchmark Study indicating that fully digital reporting can be carry out only in 12 out of 40 ports (30%).

Note: Indicative findings, need to be used with caution.



Reporting Formalities REFIT evaluation

50% replied:
No harmonization at all

Is the reporting harmonised at national level?

- About 50% of shipping companies considered that the formalities are not at all harmonised in the EU Member States. 30% are in a view that few MSs have harmonised formalities.
- More **than half of the agents** consider that there are no MSs with fully harmonised reporting in their ports.
- The 40 Port Benchmark Study indicates that only 4 ports out of 40 analysed are having nationally harmonised reporting formalities.

Note: Indicative findings, need to be used with caution.

Reporting Formalities REFIT evaluation

Is the reporting harmonised at EU level?
(not an obligation but an objective)

- There are no two NSWs alike in the EU.



Note: Indicative findings, need to be used with caution!

Reporting Formalities REFIT evaluation

Is the reporting only-once to a single system achieved:

At port level?

- The 40 Port Benchmark Study reveals that only 4 of ports out of 40 have true single window for reporting. In many instances, some of the same information has to be also provided by other means to other authorities (port/local/regional);
- Report-only-once is achieved in about half of the ports. This can be to one or many systems.

Note: Indicative findings, need to be used with caution!

Reporting Formalities REFIT evaluation

Is the reporting only-once to a single system achieved:

At national level? (data available also for the next port call in the same MS)

- Report-only-once at national level is available only in very few MSs.

Note: Indicative findings, need to be used with caution!

Reporting Formalities REFIT evaluation

Is the reporting only-once achieved :

At EU level? (sharing of data between MSs on FAL)

- 74% of shipping companies and 79% of agents report that the FAL information is never reused between MSs and the data has to be re-submitted systematically.
- However, according to some shipping companies and national authorities, exemptions on certain FAL forms are provided in some cases.

Note: Indicative findings, need to be used with caution!

Reporting Formalities REFIT evaluation

Have the reporting been simplified and rationalised?

- Based on the 40 Port Benchmark Study, some level of rationalisation and simplification has been achieved in many of the analysed ports (27/40). This is also confirmed by the national competent authorities.
- However, at the same time more than 50% of shipping companies take the view that the reporting formalities have increased after the implementation of the Reporting Formalities Directive.
- Nearly all stakeholders agree that the reporting formalities could be significantly simplified and therefore the objectives of the RFD are still relevant or very relevant.

Note: Indicative findings, need to be used with caution!

Reporting Formalities REFIT evaluation

Summary of preliminary observations

- Paper is still used in some extent in more than 50% of ports;
- The reporting formalities are harmonised only in a few Member States;
- There is no harmonisation at the EU level;
- True single window submit only-once reporting is available only in very few ports and Member States;
- FAL information is very seldom re-used;
- Reporting could be significantly streamlined and therefore the objectives of the RFD are still relevant.

Note: Indicative findings, need to be used with caution!



Future vision?

European Maritime Single Window environment

CHARACTERISTICS

- Fully harmonised interface(s) for reporting
 - either by establishing always available European Maritime Single Window for all port calls while allowing the reporting through NSWs or PCSs,
 - or by fully regulating the existing NSW interfaces;
- Including all reporting obligations in order to ensure true single system reporting with one maximum data set;
- Reporting once principle at EU level;
- Harmonised clearance at the EU level;
- Users recognised in a MS should likewise be recognised in other MSs.

Note: For discussion, not a formal position of the Commission.



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Thank you

More information?

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